

5

LIST OF ART CITED BY
APPLICANT
(PTO-1449)

ATTY. DOCKET NO.
114953-402US2SERIAL NO. APPLICANT
Irah H. DonnerFILING DATE
03/30/01

GROUP

C 005 U.S. PTO
09/04/2001


U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
Dmh	5,303,330	04/12/94	Gersho et al.			
Dmh	5,220,500	06/15/93	Baird et al.			
Dmh	5,146,541	09/08/92	Speidel			
Dmh	5,163,131	11/10/92	Row et al.			
Dmh	4,965,763	10/23/90	Zamora			
Dmh	4,814,988	03/21/89	Shiotani et al.			
Dmh	4,638,422	01/20/87	Rees			
Dmh	4,670,848	06/02/87	Schramm			
Dmh	4,334,270	06/08/82	Towers			
Dmh	4,012,720	03/15/77	Call et al.			
Dmh	4,064,490	12/20/77	Nagel			
Dmh	3,911,403	10/07/75	O'Neill, J r.			
Dmh	3,810,101	05/07/74	Avery			
Dmh	3,820,080	06/25/74	Abrams et al.			
Dmh	4,975,840	12/90	DeTore et al.			
Dmh	4,931,526	05/89	Luchs et al.			
Dmh	5,754,840	05/98	Rivette et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
Dmh	649,074A1	04/95	Europe (Daniele)				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>X/10 COPY</i>	"Encyclopedia of Computer Science", A. Ralston et al., Van Nostrand Rienhold, 1993, pp. 904-907.
	"RPM: A Rapid Prototyping Engine for Multiprocessor Systems", L.A. Barroso, et al., IEEE, 1995, pp. 26-34.
	"The Global Patent Race Picks Up Speed", Science & Technology, August 9/1993, pp. 57-62.
	"Where Buses Cannot Go", IEEE Spectrum, February 1995, pp. 41-45.

EXAMINER

Dan M. Kee

DATE CONSIDERED

8/22/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
 draw line through citation if not in conformance and not considered. Include copy of this form with
 next communication to Applicant.

**LIST OF ART CITED BY
APPLICANT**

ATTY. DOCKET NO.
114953 402US2

SERIAL NO.

APPLICANT
Irah H. Donner

FILING DATE
03/30/01

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

NoCopy	"Computer Architecture and Organization", John P. Hayes, McGraw-Hill Publishing Company, Section Edition.. before March 1997
	"Axciom, Fair Issac developing risk management software", American Banker, v159, n108, p17(1), June 7, 1994, Dialog file 148, Accession No. 07298629.
	Tammi, "Patent Enforcement Policy Aids Technology Transfer", Technology Access Report, March 15, 1990, Dialog file 636, Accession No. 00921722.
	Spidalpff et al; "Shoppers guide to legal document assembly (document assembly software...)", Law Office Computing n5 68(18), ISSN: 1055-128X, Dialog file 150, Accession No. 16427546. before March 1997

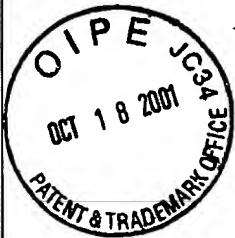
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (PTO-1449)		ATTY. DOCKET NO. 114953.402US2		SERIAL NO. 09/839,301		
		RECEIVED Technology Center 2100 OCT 22 2001				
		APPLICANT Irah H. DONNER				
		FILING DATE April 23, 2001	GROUP 2164			
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	
FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
<i>Dmh</i>	Phelps, Ed and Steven S. Barbarich. "Patent Licensing and Royalty Rules," <i>Legal & Promotional HandBook for Inventors</i> , 1996, pp. 1-105.					
<i>Dmh</i>	Pooley, James and M. Elizabeth Day. "After the Gold Rush," <i>Practice Focus Intellectual Property</i> , May 1999, pp. 51-53.					
<i>Dmh</i>	Deng, Zhen, Baruch Lev, and Francis Narin. "Science and Technology as Predictors of Stock Performance," Association for Investment Management and Research, May/June 1999, pp. 20-32.					
<i>Dmh</i>	Bramson, Robert S. "Valuing Patents, Technologies and Portfolios: Rules of Thumb," VAI Patent Management Corporation, 1999, pp. 465-476.					
<i>Dmh</i>	Krosin, Kenneth E. "Strategies in Creation of Intellectual Property Assets," 2000, pp. 45-62.					
<i>Dmh</i>	Schutz, Ronald J. and Diane L. Simerson. "Due Diligence in Intellectual Property Transactions," Robins, Kaplan, Miller & Ciresi L.L.P., 2000, pp. 297-325.					
<i>Dmh</i>	Rivette, Kevin G. and David Kline. "Discovering New Value in Intellectual Property," <i>Harvard Business Review</i> , January-February 2000, pp. 1-12.					
<i>Dmh</i>	Dobrusin, Eric M., Jerry W. Mills, Tom G. Powell, Bryan Benoit, Mark W. Haller, and Albert A. Vondra. "How Do I Convert to Cash?" <i>PricewaterhouseCoopers LLP Intellectual Property Leadership Forum</i> , February 23-26, 2000.					
	Rappaport, Alfred. "Ten Pointers for Investing in Internet Stocks," <i>The Wall Street Journal</i> , February 24, 2000.					
<i>Dmh</i>	Hill, David W. "How to Value Business Method Patents," <i>JPPA Seminar</i> , AIPLA Japan Practice Committee, April 5, 2000, pp. 1-19.					
EXAMINER	<i>Daniel M. Hee</i>		DATE CONSIDERED 8/07/02			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

(PTO-1449)

ATTY. DOCKET NO.
114953.402US2SERIAL NO.
09/839,301

RECEIVED
Technology Center 2100
OCT 22 2001

APPLICANT
Irah H. DONNERFILING DATE
April 23, 2001GROUP
2164

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Dmh	"Private Profiles: Aurigin Systems," <i>Business Issues in Technology Computer Letter</i> , Technologic Partners, Vol. 16, No. 16. May 15, 2000, pp. 1-4.
Dmh	Davis, Julie L. "Using Your IP to Increase Shareholder Value," <i>AIPLA 2000 Annual Meeting</i> . Arthur Andersen, October 20, 2000, pp. 1-18.
Dmh	Samuel, Tony. "The Value of Protection," <i>Managing Intellectual Property</i> , November 2000, pp. 46-48.
Dmh	Harrison, Suzanne. "How Leading Companies Realize Value From Their Intellectual Assets," <i>ICM Group LLC</i> , 2001, pp. 397-409.
Dmh	Lamont, Judith. "Tour the Changing IP Landscape," <i>Knowledge Management World</i> , Vol. 10, Issue 1. Knowledge Asset Media, Inc., January 2001.
Dmh	Burton, Bruce. "Strategic Role of Intellectual Property in Company Valuation and Financing," <i>Practicing Law Institute</i> . Deloitte & Touche, February 12, 2001. pp. 11-44.
Dmh	Neifeld, Richard A. "A Macro-Economic Model Providing Patent Valuation and Patent Based Company Financial Indicators," <i>JPTOS</i> , March 2001, pp. 211-222.
Dmh	Bischoff, Glenn. "The New Mantra: Innovate, Protect and Leverage—or Lose," <i>Telephony</i> , May 7, 2001.
Dmh	Mahoney, Bill. "Smart As," <i>Shareholder Value</i> , May/June 2001, pp. 40-44.
Dmh	Clement, Zack, Johnathan Bolton and Carmen R. Eggleston. "How to Restructure Technology-Rich Companies," <i>Managing Intellectual Property</i> , July/August 2001, pp. 23-28.
Dmh	Holmes, Stephen C. and William L. Anthony, Jr. "Time for an IP Audit?" <i>Intellectual Property Today</i> , August 2001, pp. 20-21.

EXAMINER

Daniel M. Fee

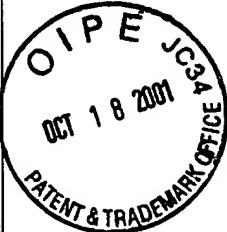
DATE CONSIDERED

8/7/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

(PTO-1449)

ATTY. DOCKET NO.
114953.402US2SERIAL NO.
09/839,301

RECEIVED
OCT 22 2001
Technology Center 2100

APPLICANT
Irah H. DONNERFILING DATE
April 23, 2001GROUP
2164

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Dmh	Del Gallo, III, Rinaldo. "IP Perspectives: Examples and Explanations of the Doctrine of Equivalents After <i>Festo</i> ," <i>Intellectual Property Today</i> , October 2001, pp. 40-43.
Dmh	Neifeld, Ph.D., Richard A. "A Macro-Economic Model Providing Patent Based Company Financial Indicators and Automated Patent Valuations," <i>Intellectual Property Today</i> , October 2001, pp. 58-63.
	Godwin, Mike and Victoria Slind-Flor. "They Got a Winner There," <i>Intellectual Property</i> , October 8, 2001, pp. 14, 16-19.
	"Intellectual Assets - Value Development & Extraction." <i>DU PONT, The Miracles of Science</i> .
EXAMINER	<p><i>Dan M. Krec</i></p> <p>DATE CONSIDERED 8/1/02</p>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

SERIAL NO.
09/839,301

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.
114953.402US2

ATTY. DOCKET #
11-1053-403UE2

114953.4020S2

APPLICANT
Irah H. DONNER

FILING DATE
April 23, 2001

GROUP
2164

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DmH	Pratt, Shannon. "Intellectual Property Valuations Increasingly Important." <i>Judges & Lawyers</i> , Volume 2, Issue 9, September 2000.
DmH	Blonder, Brian and Edward Gold. "Negotiating Intellectual Property Transactions." IPLF IAM Pre-Conference, PricewaterhouseCoopers, LLP, February 21, 2001, pp. 1-16.
DmH	Godwin, Mike and Victoria Slind-Flor. "They Got a Winner There." <i>Legal Times</i> , October 8, 2001, pp. 14, 16-19.

RECEIVED
NOV 1 3 2001
Technology Center 2106

EXAMINER

Daniel M. Klee

DATE CONSIDERED

8/7/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

RECEIVED

DEC 06 2001

Technology Center 21st

O
MAR 06 2002
PATENT & TRADEMARK OFFICE
JC98

SHEET 1 OF 1

paper #19

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

(PTO-1449)

ATTY. DOCKET NO:
114953.402US2

SERIAL NO.
09/839,301

RECEIVED

MAR 06 2002

Technology Center 2100

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)		ATTY. DOCKET NO: 114953.402US2	SERIAL NO. 09/839,301			
		APPLICANT Irah H. DONNER				
		FILING DATE April 23, 2001	GROUP 2164			
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
<i>Dmh</i>	Opperman, Craig P. "Do Patents Really Make a Difference to Stock Price? (TiVo Stock's Patent-Induced Roller Coaster Ride)." <i>Intellectual Property Today</i> , Volume 9, No. 2. February 2002, pp. 8-9.					
<i>DMK</i>	Tenenbaum, David. "Valuing Intellectual Property Assets", <i>The Computer & Internet Lawyer</i> . Volume 19, Number 2. February 2002, pp. 1-8.					
EXAMINER <i>Daniel M. Khee</i>		DATE CONSIDERED <i>8/7/02</i>				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.